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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. <b>740756-2394</b>	
		First Inventor <b>Hongyong ZHANG et al</b>	
		Title <b>SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING THE SAME</b>	
		Express Mail Label No.	
<b>APPLICATION ELEMENTS</b> MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents Box Patent Application Washington, DC 20231	
<input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification [Total Pages 46] (preferred arrangement see first below)		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
- Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure		<b>ACCOMPANYING APPLICATION PARTS</b>	
<input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Figs. 1A-11D [Total Sheets 9] Oath or Declaration [Total Sheets 3]		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: Notice of Change of Name and Address	
<input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)			
<input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/439,997 filed November 15, 1999 which itself is a Divisional of Serial No.: 09/233,146 filed January 19, 1999, which itself is a Divisional of Application Serial No.: 08/661,013 filed June 10, 1996, now U.S. Patent No. 5,888,857, which itself is a Divisional of Application Serial No.: 08/241,106 filed November 18, 1994, now U.S. Patent No. 5,463,426; which itself is a Divisional of Serial No.: 08/160,908 filed December 3, 1993, now U.S. Patent No. 5,403,772.			
Prior application information: Examiner <u>B. Kebede</u> Group / Art Unit: <u>2823</u>			
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
<b>19. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label 22294 (Insert Customer No. or attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name <b>Jeffrey L. Costellia</b>			
Address <b>NIXON PEABODY LLP</b> <b>8180 Greensboro Drive, Suite 800</b>			
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Country	United States	Telephone	(703) 790-9110
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Name (Print/Type) <b>Jeffrey L. Costellia</b>		Registration No. (Attorney/Agent) <b>#35,483</b>	
Signature 		Date <b>11/26/01</b>	

Burden Hour Statement: this form is completed in 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (S) 1244.00

Complete if Known

Application Number	Not Yet Assigned
Filing Date	November 26, 2001
First Named Inventor	Hongyong ZHANG et al
Examiner Name	B. Kebede
Group Art Unit	2823
Attorney Docket No.	740756-2394

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

19-2380 (740756-2394)

Deposit  
Account  
Name

Nixon Peabody LLP

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (S) 740.00

## 2. EXTRA CLAIM FEES

			Extra Claims		Fee from below		Fee Paid
Total Claims	34	-20** =	14	X	18.00	=	252.00
Independent Claims	6	-3** =	3	X	84.00	=	252.00
Multiple Dependent						=	

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (S) 504.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English transaction	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	200 200	Extension for reply within second month	
117 920	460 460	Extension for reply within third month	
118 1,440	720 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CR 1.17(g)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.29(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.29(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (S) \_\_\_\_\_

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on \_\_\_\_\_

Name \_\_\_\_\_

## SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Jeffrey L. Costellia	#35,483	(703) 790-9110
Signature	Date	
	11/26/01	

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the New **Divisional** Application of )  
 Hongyong ZHANG et al ) Art Group: 2823  
 Based on US Serial No.: 09/439,997 ) Examiner: B. Kebede  
 Which was filed: November 15, 1999 )  
 For: SEMICONDUCTOR DEVICE AND ) Date: November 26, 2001  
 METHOD FOR MANUFACTURING THE SAME )

NOTICE OF CHANGE OF ADDRESS  
 and  
NOTICE OF CHANGE OF NAME

Commissioner for Patents  
 Washington, D.C. 20231

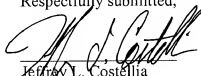
Sir:

Effective immediately, please note that the address and the firm name of the attorney of record in the above-referenced application has been changed.

Please direct all future correspondence to:

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Respectfully submitted,

  
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JLC/sas

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